

12-05-00

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12/04/00

jc974 U.S. PTO

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(b) for new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No. **2000U044.US**Inventors **John F. Szul, et al.**Title **Catalyst Composition, Method Of Polymerization And  
Polymer Therefrom**Express Mail Label No. **EL715559413US**

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05729557

12/04/00

**APPLICATION ELEMENTS**ADDRESS TO: **Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231**

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification **Total Pages** **66**  
(preferred arrangement set forth below)  
- Descriptive Title of the Invention  
- Cross References to Related Applications (if any)  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) **Total Sheets** **-1**
4. ☒ Oath or Declaration **Total Pages** **-4**  
(unsigned)  
a. ☐ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d)  
(for continuation/division with Box 15 completed)  
[Note Box 5 below]  
i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation By Reference (useable if Box 4B is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration  
is supplied under Box 4b, is considered to be part of the disclosure of the accompanying  
application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)

**ACCOMPANYING APPLICATION PARTS**

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449  
☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
14. ☐ Other: \_\_\_\_\_

15. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior applications No: \_\_\_\_\_, from which priority is claimed under 35 U.S.C. § 120

Prior application information: Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_

16. If a NONPROVISIONAL APPLICATION based upon a foreign or U.S. provisional application, priority is claimed from applications

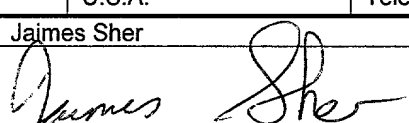
No. \_\_\_\_\_, country \_\_\_\_\_, filed on \_\_\_\_\_.

**17. CORRESPONDENCE ADDRESS**

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Univation Technologies, LLC				
Address	5555 San Felipe, Suite 1950				
City	Houston	State	Texas	Zip Code	77056
Country	U.S.A.	Telephone	(713) 892-3668	Fax	(713) 892-3687
Name (print/type)	Jaimes Sher			Registration No. (Attorney/Agent)	34,726
Signature				Date	December 4, 2000

# FEE TRANSMITTAL

Note: Effective October 1, 2000  
Patent fees are subject to annual revision.

## Complete if Known

Application Number	New Application
Filing Date	December 4, 2000
Inventors	John F. Szul, et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	2000U044.US

Total Amount of Payment (\$998.00)

### METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number: 50-0589

Deposit Account Name: Univation Technologies, LLC

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

### FEE CALCULATION

#### 1. FILING FEE

Large Entity Fee Code	Fee Description	Fee \$	Fee Paid
101 710	Utility filing fee		710.00
106 320	Design filing fee		
107 490	Plant filing fee		
108 710	Reissue filing fee		
114 150	Provisional filing fee		
SUBTOTAL (1)			710.00

#### 2. CLAIMS

Extra	Fee from below	Fee Paid
Total Claims 36 - 20 = 16 x 18 = 288.00		
Independent Claims 2 - 3 = 0 x 80 = 0.00		
Multiple Dependent Claims 0 x 270.00 = 0.00		

#### Large Entity

Fee Code	Fee \$	Fee Description
103 18		Claims in excess of 20
102 80		Independent claims in excess of 3
104 270		Multiple dependent claim
109 80		Reissue independent claims over original patent
110 18		Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		288.00

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Fee Code	Large Entity Fee \$	Fee Description	FEES PAID
105 130		Surcharge - late filing fee or oath	
127 50		Surcharge - late provisional filing or cover sheet.	
139 130		Non-English specification	
147 2,520		For filing a request for reexamination	
112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840*		Requesting publication of SIR after Examiner action	
115 110		Extension for reply within first month	
116 380		Extension for reply within second month	
117 870		Extension for reply within third month	
118 1,360		Extension for reply within fourth month	
128 1,850		Extension for reply within fifth month	
119 300		Notice of Appeal	
120 300		Filing a brief in support of an appeal	
121 260		Request for oral hearing	
138 1,510		Petition to institute a public use proceeding	
140 110		Petition to revive - unavoidable	
141 1,210		Petition to revive - unintentional	
142 1,210		Utility issue fee (or reissue)	
143 430		Design issue fee	
144 580		Plant issue fee	
122 130		Petition to the Commissioner	
123 50		Petitions related to provisional applications	
126 240		Submission of information Disclosure Stmt.	
581 40		Recording each patent assignment per property (times number of properties)	
146 760		Filing a submission after final rejection (37 CFR 1.129(a))	
149 760		For each additional invention to be examiner (37 CFR 1.129(b))	
Other fee (specify)			
* Reduced by Basic Filing Fee Paid			
SUBTOTAL (3)			.00

Typed or Printed Name Jaimes Sher

Reg. No. 34,726

Signature

Date

December 4, 2000

Deposit Account User ID